



Sub For, PTO-1449

INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number  
106586.185 US1Application Number  
10/662,000Applicant  
Andrzej J. ChanduszekoFiling Date  
09/12/2003Group Art Unit  
3661

Sheet	1	OF	5

## U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NRP	3,874,388	04/01/1975	King et al.	128	334	
	3,875,648	04/08/1975	Bone	29	417	
	4,006,747	02/08/1977	Kronenthal et al.	128	335	
	4,007,743	02/15/1997	Blake	128	334	
	4,425,908	01/17/84	Simon	128	1	
	4,696,300	09/29/1987	Anderson	128	334	
	4,836,204	06/06/1989	Landymore et al.	128	334	
	4,915,107	04/10/1990	Rebuffat et al.	606	144	
	5,021,059	06/04/1991	Kensey, et al.	606	213	
	5,037,433	08/06/1991	Wilk et al.	606	139	
	5,041,129	08/20/1991	Hayhurst et al.	606	232	
	5,108,420	04/28/1992	Marks	606	213	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,222,974	06/29/1993	Kensey, et al.	606	213	
	5,236,440	08/17/1993	Hlavacek	606	219	
	5,257,637	11/02/1993	El Gazayerli	128	898	
	5,282,827	02/01/1994	Kensey et al.	606	215	
	5,284,488	02/08/1994	Sideris	606	213	
	5,304,184	04/19/1994	Hathaway et al.	606	144	
	5,312,435	05/17/1994	Nash, et al.	606	213	
	5,334,217	08/02/1994	Das	606	213	
	5,354,308	10/11/1994	Simon, et al.	606	198	
	5,411,481	05/02/1995	Allen et al.	606	144	
	5,413,584	05/09/1995	Schulze	606	219	
	5,417,699	05/23/1995	Klein et al.	606	144	
	5,425,744	06/20/1995	Fagan et al.	606	213	
	5,433,727	07/18/1995	Sideris	606	213	
✓	5,451,235	09/19/1995	Lock et al.	606	213	

EXAMINER

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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.

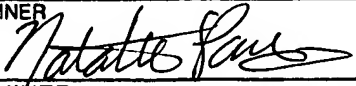
Subt. For, PTO-1449				Docket Number 106586.185 US1		Application Number 10/662,000	
<b>INFORMATION DISCLOSURE IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>				Applicant <b>Andrzej J. Chanduszeko</b>			
				Filing Date <b>09/12/2003</b>		Group Art Unit <b>3661</b>	
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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>NRP</i>	5,478,353	12/26/1995	Yoon	606	213	
	5,507,811	04/16/1996	Koike et al.	623	11	
	5,540,712	07/30/1996	Kleshinski et al.	606	198	
	5,601,571	02/11/1997	Moss	606	139	
	5,618,311	04/08/1997	Gryskiewicz	606	216	
	5,634,936	06/03/1997	Linden et al.	606	213	
	5,683,411	11/04/1997	Kavteladze et al.	606	200	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,707	01/20/1998	Lock et al.	606	213	
	5,720,754	02/24/1998	Middleman et al.	606	127	
	5,725,552	03/10/1998	Kotula et al.	606	213	
	5,733,294	03/31/1998	Forber et al.	606	151	
	5,741,297	04/21/1998	Simon	606	213	
	5,776,162	07/07/1998	Kleshinski	606	198	
	5,797,960	08/25/1998	Stevens et al.	606	213	
	5,810,884	09/22/1998	Kim	606	213	
	5,823,956	10/20/1998	Roth et al.	600	374	
	5,829,447	11/03/1998	Stevens et al.	128	898	
	5,855,614	01/05/1999	Stevens et al.	623	11	
	5,861,003	01/19/1999	Latson et al.	606	213	
	5,879,366	03/09/1999	Shaw et al.	606	213	
	5,893,856	04/13/1999	Jacob et al.	606	151	
	5,902,319	05/11/1999	Daley	606	219	
	5,904,703	05/18/1999	Gilson	606	213	
	5,919,200	07/06/1999	Stambaugh et al.	606	159	
	5,924,424	07/20/1999	Stevens et al.	128	898	
	5,944,738	08/31/1999	Amplatz et al.	606	213	
	5,976,174	11/02/1999	Ruiz	606	213	

EXAMINER <i>Notario Paks</i>	DATE CONSIDERED <i>1/3/06</i>
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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NRP	5,989,268	11/23/1999	Pugsley, Jr. et al.	606	144	
	5,993,475	11/30/1999	Lin et al.	606	213	
	6,010,517	01/04/2000	Baccaro	606	151	
	6,024,756	02/15/2000	Huebsch et al.	606	213	
	6,056,760	05/02/2000	Koike et al.	606	148	
	6,077,291	06/20/2000	Das	606	213	
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	6,113,609	09/05/2000	Adams	6066	139	
	6,117,159	09/12/2000	Huebsch et al.	606	213	
	6,132,438	10/17/00	Fleischman, et al.	606	139	
	6,165,183	12/26/00	Kuehn, et al.	606	139	
	6,165,204	12/26/2000	Levinson et al.	606	232	
	6,171,329	01/09/2001	Shaw et al.	606	213	
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	6,206,895	03/27/2001	Levinson	606	144	
	6,206,907	03/27/2001	Marino et al.	606	215	
	6,214,029	04/10/2001	Thill et al.	606	213	
	6,217,590	04/17/2001	Levinson	606	142	
	6,221,092	04/24/2001	Koike et al.	606	213	
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	6,245,080	06/12/2001	Levinson	606	144	
	6,270,515	08/07/2001	Linden et al.	606	213	
	6,277,138	08/21/2001	Levinson et al.	606	200	
	6,277,139	08/21/2001	Levinson et al.	606	200	
	6,287,317	09/11/2001	Makower et al.	606	153	
	6,290,674	09/18/2001	Roue et al.	604	107	
	6,306,150	10/23/2001	Levinson	606	158	
	6,312,446	11/06/2001	Huebsch et al.	606	213	

EXAMINER 	DATE CONSIDERED <b>11/31/06</b>
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NRP	6,319,263	11/20/2001	Levinson	606	144	
	6,342,064	01/29/2002	Koike et al.	606	213	
	6,344,049	02/05/2002	Levinson et al.	606	200	
	6,348,041	02/19/2002	Klint	600	585	
	6,352,552	03/05/2002	Levinson et al.	623	1.15	
	6,355,052	03/12/2002	Neuss et al.	606	213	
	6,364,853	04/02/2002	French et al.	604	35	
	6,375,625	04/23/2002	French et al.	600	573	
	6,375,671	04/23/2002	Kobayashi et al.	606	213	
	6,379,342	04/30/2002	Levinson	604	310	
	6,379,368	04/30/2002	Corcoran et al.	606	153	
	6,387,104	05/14/2002	Pugsley, Jr., et al.	606	139	
	6,398,796	06/04/2002	Levinson	606	144	
	6,440,152	08/27/2002	Gainor et al.	606	213	
	6,460,749	10/08/2002	Levinson et al.	227	180.1	
	6,488,706	12/03/2002	Solymar	623	3.1	
	6,494,888	12/17/2002	Laufer, et al.	606	153	
	2001/0034537	10/25/2001	Shaw et al.	606	213	
	2001/0037129	11/01/2001	Thill	606	213	
	2001/0044639	06/15/2001	Levinson	606	228	
	2001/0049492	12/06/01	Frazier, et al.	604	104	
	2002/0010481	01/24/2002	Jayaraman	606	151	
	2002/0183787	06/01/2001	Wahr et al.	606	213	
	2002/0183786	05/30/2001	Girton	606	213	
	2002/0129819	01/19/02	Feldman et al	128	831	
	2002/0099389	03/21/2002	Michler et al.	606	139	
	2002/0043307	04/18/02	Ishida, et al.	148	411	
	2002/0032462	06/10/1999	Houser et al.	606	213	
	2002/0029048	08/31/2001	Miller	606	138	

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				Filing Date <b>09/12/2003</b>		Group Art Unit <b>3661</b>	
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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>NRP</i>	2002/0077555	06/20/02	Schwartz	600	486	
<i>↓</i>	2003/0059640	03/27/2003	Marton et al.	428	544	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>NRP</i>	WO 00/27292	05/18/2000	PCT	A61B	17/08		
<i>↓</i>	WO 01/49185	07/12/01	PCT	A61B	17/00		
	EP 1 013 227	06/28/00	EP	A61B	17/00		

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
<i>NRP</i>	A1	Nat'l Aeronautics and Space Administration, "55-Nitinol – The Alloy with a Memory: Its Physical Metallurgy, Properties, and Applications," A Report, pgs. 24-25
<i>↓</i>	A2	Kimura, A., et al., "Effects of Neutron Irradiation on the Transformation Behavior in Ti-Ni Alloys," Abstract, Proceedings of the Int'l Conf. On Martensitic Transformations (1992) pgs. 935-940
	A3	Ramanathan, G., et al., "Experimental and Computational Methods for Shape Memory Alloys," 15 <sup>th</sup> ASCE Engineering Mechanics Conf., June 2-5, 2003
	A4	Shabalovskaya, S., "Surface, corrosion and biocompatibility aspects of Nitinol as an implant material," Bio-Medical materials and Engineering, (2002) Vol. 12, pgs. 69-109
<i>↓</i>	A5	Uchil, J. "Shape Memory Alloys – Characterization Techniques," Pramana – Journal of Physics, (2002) Vol. 58, Nos. 5 & 6, pgs. 1131-1139

EXAMINER <i>Natalie Pous</i>	DATE CONSIDERED <i>1/31/06</i>
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Supplement to form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
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Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Chanduszko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet

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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NRP		US-2003/171774	09/11/2003	Siegner et al.	
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NRP		WO 03/053493	07/03/2002	NMT Medical, Inc.	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Application Number	10/662,000
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First Named Inventor	Andrzej J. Chanduszeko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet 1 of 3

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MKP		US-4,710,192	12/01/1987	Liotta et al.	
		US-4,902,508	02/20/1990	Badylak et al.	
		US-4,917,089	04/17/1990	Sideris	
		US-4,956,178	09/11/1990	Badylak et al.	
		US-5,171,259	12/15/1992	Inoue	
		US-5,275,826	01/04/1994	Badylak et al.	
		US-5,312,341	05/17/1994	Turi	
		US-5,480,424	01/02/1996	Cox	
		US-5,486,193	01/23/1996	Bourne et al.	
		US-5,577,299	11/26/1996	Thompson et al.	
		US-5,620,461	04/15/1997	Muijs Van De Moer et al.	
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		US-5,693,085	12/02/1997	Buirge et al.	
		US-5,733,337	03/31/1998	Carr, Jr. et al.	
		US-5,800,516	09/01/1998	Fine et al.	
		US-5,853,422	12/29/1998	Huebsch et al.	
		US-5,865,791	02/02/1999	Whayne et al.	
		US-5,928,250	06/27/1999	Koike et al.	
		US-5,955,110	09/21/1999	Patel et al.	
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		US-6,306,424	10/23/2001	Vyakarnam et al.	
		US-6,322,548	11/27/2001	Payne et al.	
		US-6,328,727	12/11/2001	Frazier et al.	
		US-6,334,872 B1	01/01/2002	Termin et al.	
		US-6,346,074	02/12/2002	Roth	
		US-6,402,772	06/11/2002	Amplatz et al.	

Examiner Signature	<i>Notata Pau</i>	Date Considered	11/3/06
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Art Unit	3661
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Attorney Docket Number	106586.185US1

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URP	US-6,419,669	07/16/2002	Frazier et al.
	US-6,436,088	08/20/2002	Frazier et al.
	US-6,482,224	11/19/2002	Michler et al.
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	US-6,551,344	04/22/2003	Thill
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	US-2003/0191495	10/09/2003	Ryan et al.
	US-2003/0139819	07/24/2003	Beer et al.
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			Filing Date	September 12, 2003	
			First Named Inventor	Andrzej J. Chanduszek	
			Art Unit	3661	
			Examiner Name	TBA	
Sheet	3	of	3	Attorney Docket Number	106586.185US1

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
URP ↓		EP 1 046 375	10/25/2000		
		EP 1 222 897	07/17/2002		
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		WO 03/077733	09/25/2003		
		WO 96/25179	08/22/1996		
		WO 96/31157	10/10/1996		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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